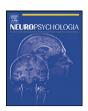
ELSEVIER

Contents lists available at ScienceDirect

## Neuropsychologia

journal homepage: www.elsevier.com/locate/neuropsychologia



# Interaction between process and content in semantic memory: An fMRI study of noun feature knowledge

Jonathan E. Peelle\*, Vanessa Troiani, Murray Grossman

Department of Neurology, University of Pennsylvania School of Medicine, Philadelphia, PA, United States

#### ARTICLE INFO

Article history:
Received 19 May 2008
Received in revised form
22 September 2008
Accepted 28 October 2008
Available online 11 November 2008

Keywords: Human Semantic memory Concept representation DLPFC

#### ABSTRACT

Effective semantic processing requires both stored conceptual knowledge and the ability to relate this information to our environment. In the current study we examined how neural processing of a concept's features was modulated by the semantic context in which they were presented using two types of nouns: complex nouns, in which all features contribute in a variable manner to an object's meaning (apples are usually red, but not always), and nominal kinds, for which a single feature plays a diagnostic role (an uncle must be the brother of a parent). We used fMRI to monitor neural activity while participants viewed a list of features and decided whether the list accurately described a target concept. We focused on the effect of semantic context on processing of features critical to a concept's representation. Task demands were manipulated by giving participants instructions that encouraged rule-based or similarity-based judgments. Activation patterns for feature processing were found to depend on the type of noun being evaluated and whether or not critical features were consistent with surrounding information: When processing critical features that contradicted other information, complex nouns resulted in additional recruitment in frontal and temporal cortex compared to nominal kinds. We observed modest effects of instruction condition, with rule-based instructions resulting in increased frontal processing and similarity-based instructions recruiting more temporal and parietal regions. Together, these results support the hypothesis that various classes of nouns are represented differently in semantic memory, and emphasize the dynamic interaction of process and content in semantic memory.

© 2008 Elsevier Ltd. All rights reserved.

### 1. Introduction

Our ability to understand and interact with the world rests both on the information that we have acquired about objects and the flexible application of this knowledge within the contextual demands of our immediate environment. Early models of semantic memory deemphasized the importance of context and focused primarily on the storage of features that compose objects (Tulving, 1972). Recent work has demonstrated that semantic knowledge involves a dynamic interaction between storage of conceptual information (content) and the active manipulation of this knowledge in service of a task (process) (Koenig & Grossman, 2007; Martin & Chao, 2001). In the current study we focus on the interaction of process and content in semantic memory with specific attention to how different types of semantic content can engender qualitatively different processing strategies.

The principle that disparate types of semantic content are stored differently in the brain is well established. For example, it has been repeatedly demonstrated that the noun categories "animals" and "tools" rely on at least partially dissociable regions of cortex in the ventral visual pathway (Caramazza & Shelton, 1998; Martin, 2007). However, differences in content may also arise from the semantic structure of a concept-that is, how individual features contribute to a concept's representation (Crutch & Warrington, 2005; Keil, 1989). We suggest that such differences in content necessitate differences in process because divergent types of information must be evaluated. In the current study we examined the effects of context on semantic processing on multiple levels. First, we investigated semantic context effects within two types of nouns in order to assess the degree to which implicit processing of feature knowledge depends on prior semantic context. Second, we examined processing differences resulting from dissimilarities in the semantic structure of two classes of nouns. Finally, we used two sets of experimental instructions designed to encourage distinct processing strategies for this task to see if implicit processing differences can be further altered using explicit task demands. This approach enabled us to examine both processing requirements that differ based on intrinsic concept

<sup>\*</sup> Corresponding author. Present address: MRC Cognition and Brain Sciences Unit, 15 Chaucer Road, Cambridge CB2 7EF, United Kingdom. Tel.: +44 1223 355294. E-mail address: jonathan.peelle@mrc-cbu.cam.ac.uk (J.E. Peelle).

properties and those that differ based on externally imposed criteria.

One dominant framework used to approach the study of semantic memory, which we adopt for the current study, involves characterizing the meanings of concrete nouns in terms of distributions of features: an APPLE has a stem, is red, contains seeds, and grows on a tree (Hampton, 1995; McClelland & Rogers, 2003; McRae, de Sa, & Seidenberg, 1997; Smith, Shoben, & Rips, 1974). (We use capital letters to refer to a concept, and italics to its component features.) Each of these features contributes in a probabilistic manner to the representation of APPLE, but no single feature by itself can determine whether an object is an APPLE. For example, most people consider the red color of an apple to be a particularly salient feature, but do not have difficulty recognizing a green apple because it possesses a sufficient number of other positively contributing features. Although most nouns can be characterized in this fashion, we refer to these as "complex nouns" to emphasize the potentially large number of features that can contribute to a concept's meaning. Through empirical testing, it is possible to determine features of a complex noun that contribute particularly strongly to its meaning (critical features, such as the color of an apple) as well as features that are less strongly associated with an object (auxiliary features, such as whether an apple has a stem or not). Within this feature-based approach, determining the identity of an object is accomplished by assessing the number of features that contribute to its meaning, while simultaneously taking into account the relative importance of these features.

In general it may be assumed that the importance of a critical feature to a concept is tied to the importance of surrounding auxiliary features, because all of these features contribute in some degree to the representation of a concept. Thus, a critical feature may be more important in evaluating a concept when there is a mismatch between the information provided by this feature and the other features present. However, there are concepts for which this is not the case. These include nouns in which a single critical feature plays a diagnostic role, known as nominal kinds (Keil, 1989). A common example of a nominal kind is the word grandfather. The meaning of GRANDFATHER is constrained such that this person must be a parent's father. There exist other auxiliary features that people tend associate with GRANDFATHER, such as kindly demeanor, attends family gatherings, brings presents, and visits often. However, a person who was unkind, never present at family gatherings, didn't bring presents, and never visited—in other words, had a large number of anti-characteristic features—could still be a GRANDFATHER if he were the father of a parent. Object identity for nominal kinds therefore relies predominantly on a single critical feature. Unlike complex nouns, auxiliary features should never be able to overwhelm the contribution of the diagnostic critical feature. Accordingly, we expect processing of nominal kinds to rely less upon auxiliary attributes and more upon critical features.

The degree to which the cognitive processes underlying nominal kind concepts differ from those supporting complex nouns has been a matter of some debate. One view holds that nominal kinds rely on rule-based processes to determine category membership because of the diagnostic role played by a single, or small number, of "defining" features (Keil, 1989; Keil & Batterman, 1984; Rips, 1989). From this perspective, such nouns are processed in a qualitatively different way from other nouns. An alternative view suggests that in fact all concepts are processed using the same summed weighting of features, but that differences arise due to the weightings assigned to individual features (Hampton, 1997; Rosch & Mervis, 1975). For example, for nominal kinds, a feature that is particularly strongly weighted may appear to play a special diagnostic role, when in fact the categorization process is no different than any other noun (Hampton, 1995). For the current study our focus is only on the fact

that the two types of nouns elicit differences in cortical processing, regardless of the nature of these differences.

Empirical evidence supporting the difference between neural representations of nominal kinds and complex nouns has been reported in one fMRI study to date (Grossman, Troiani, Koenig, Work, & Moore, 2007). In this prior report, participants evaluated whether a list of sequentially presented features accurately described a target concept. The authors examined neural activation while participants read descriptions that contributed positively to a concept's meaning. When examining characteristic features, activity was stronger in parietal regions for nominal kinds relative to complex nouns. By contrast, examining features that contributed positively to the meaning of complex nouns resulted in more activity in lateral temporal and frontal regions relative to nominal kinds. Additionally, critical features were found to result in greater activation than auxiliary features. These results are consistent with the theory that complex nouns and nominal kinds are represented differently from complex nouns in semantic memory, as well as the notion that individual features differ in the degree to which they influence a concept's representation.

In the current study we re-analyze data from Grossman et al. (2007) in order to more closely examine the processing of critical features. We hypothesized that the context provided by auxiliary features would modulate the processing of critical features. In general, we predict critical features should require more activity when they contradict auxiliary features compared to when they are consistent, related to the evaluation of the semantic information presented. That is, the critical feature is the same, but is processed differently due to its importance in evaluating the target concept. We expected this effect to be less prominent in nominal kinds, because for these nouns the critical feature always plays a relatively greater role in concept representation, and thus should be affected to a lesser degree by the auxiliary features.

Above we have suggested that the neural processing of semantic features can be modulated by the nature of the conceptual content (complex noun or nominal kind) and the relative importance of these features to a concept, given their semantic context. In addition to these considerations, participants' goals during a task are also likely to affect the relative evaluation of semantic information. In the current experiment we explicitly manipulated these demands by randomly assigning participants to one of two groups. Each group received instructions designed to promote rule-based or similarity-based approaches to concept evaluation (Smith, Patalano, & Jonides, 1998). Rule-based instructions were intended to bias participants towards identifying a specific feature that plays a prominent role in the meaning of a concept. By contrast, similarity-based instructions were intended to encourage a more equally distributed evaluation of all factors. Based on previous patient and neuroimaging studies we expected rule-based instructions to subtly bias participants in this condition towards increased use of frontal brain regions associated with executive resources, and similarity-based instructions to shift activity towards temporal and parietal association cortices (Koenig et al., 2005; Koenig, Smith, & Grossman, 2006). However, in the previous report on the current data, there were no differences found between the two instruction conditions (Grossman et al., 2007). Thus, although we expected instruction effects to be apparent regardless of the type of concept being tested, we presumed any effects would be subtle.

### 2. Method

The current study is a reanalysis of data collected for Grossman et al. (2007).

## 2.1. Participants

Participants were 25 healthy adult volunteers, 14 females and 11 males, ranging in age from 18 to 33 years (M = 23.9, SD = 3.6). All were native speakers of English,

**Table 1** Example features used in experiment.

Noun type	Critical feature supports concept (C+)	Agreement of auxiliary features	
		Consistent with critical feature	Inconsistent with critical feature
Complex noun (COW)	Yes	Evan sees this animal on a farm It wears a bell *It has an udder It eats grass It is white It has black spots	This animal runs very fast Scott sees it on the beach It eats lettuce It wears a saddle It has long hair *It has an udder
	No	This animal runs very fast It can live on the beach Tony sees it eating seeds *It has antlers It wears a saddle It has a short tail	Rich sees this animal on a farm *It has antlers It wears a bell It eats grass It is black and white It nurses its young
Nominal kind (GRANDSON)	Yes	Alex visits Jerry often He sits on Jerry's lap He is sweet and little Jerry takes him to ballgames Jerry reads him stories *Jerry's son is Alex's father	Ralph has gray hair *Joe's son is Ralph's father Ralph has dentures He sits in a rocking chair He smokes a pipe He listens to the radio
	No	Randy walks slowly He owns a home *Keith's brother is Randy's father Randy has dentures He sits in a rocking chair He smokes a pipe	Billy visits Mark often He sits in Mark's lap Mark reads him stories *Mark's brother is Billy's father Billy is sweet and little Mark takes him to ballgames

Note: Asterisk (\*) indicates critical feature.

right-handed, and in good health with no history of neurological difficulty. Informed consent was obtained from all participants according to a protocol approved by the University of Pennsylvania Institutional Review Board.

### 2.2. Materials

Based on previous studies of semantic memory we identified four exemplars from the noun categories of animals (CAMEL, COW, RATTLESNAKE, WHALE) and tools (AXE, HAMMER, PLIERS, SCISSORS). We also identified four exemplars each from two categories that contain nominal kinds: kinship terms (BROTHER, COUSIN, GRANDSON, NIECE) and moral acts (LIE, STEAL, TEASE, CHEAT).<sup>1</sup>

For each target noun a critical feature positively associated with the concept was identified (C+). These features were developed using pilot testing and determined to have a large impact on subjects' decisions regarding concept identity. For each target concept, we identified five auxiliary features that were consistent with the concept (A+). These features were chosen so that their absence would not prevent an exemplar from being a member of the associated category.

We then developed salient anti-characteristic critical features (C-) that prohibited category membership for the nominal kinds (i.e., were anti-diagnostic). For example, if someone is *the brother of Sarah's mother*, by definition they cannot be Sarah's GRANDFATHER. For complex nouns we developed critical features that were not associated with a target word, such as an APPLE that *grows on a vine*. Although these features are never directly associated with the target words in everyday experience, empirical testing indicated that by themselves they did not prevent category membership for the complex nouns.

Finally, we established five anti-characteristic auxiliary features (A-) for each target concept. Each anti-characteristic auxiliary feature was selected such that its presence would not automatically prevent category membership, such as a GRAND-FATHER who is *unfriendly* or an APPLE that is *purple in color*. These anti-characteristic stimuli were also tested to ensure their presence did not necessarily result in the associated concept being rejected.

Example stimuli are listed in Table 1, and the full list of stimuli are provided in Supplemental Material. These stimuli allowed us to examine, for each noun type, processing associated with critical features, and whether this processing was modulated by the agreement of the surrounding auxiliary features with the target concept.

In addition to obtaining ratings of stimuli, we examined critical features of nominal kinds and complex nouns included in the analysis along several

psycholinguistic measures using data from the English Lexicon Project (Balota et al., 2007). For each critical statement we obtained the following attributes for each content word: two measures of word frequency (Kucera Francis and HAL), the number of orthographic neighbors, and the number of phonological neighbors. In addition, we obtained concreteness ratings from 27 adults on the same words. These measures were submitted to independent samples *t*-tests. Using a significance level of .05, there was no significant difference in Kucera Francis frequency ( $M_{\rm NK}$  = 116.93;  ${\rm SD}_{\rm NK}$  = 91.40;  $M_{\rm CN}$  = 110.72,  ${\rm SD}_{\rm CN}$  = 106.20; t(58) = 0.24); HAL frequency ( $M_{\rm NK}$  = 56,836,  ${\rm SD}_{\rm NK}$  = 59,321;  $M_{\rm CN}$  = 94,061,  ${\rm SD}_{\rm CN}$  = 112,331; t(58) = 1.605), number of orthographic neighbors ( $M_{\rm NK}$  = 6.19,  ${\rm SD}_{\rm NK}$  = 5.15;  $M_{\rm CN}$  = 9.24,  ${\rm SD}_{\rm CN}$  = 6.68; t(58) = 1.98), number of phonological neighbors ( $M_{\rm NK}$  = 13.18,  ${\rm SD}_{\rm NK}$  = 10.96;  $M_{\rm CN}$  = 17.51,  ${\rm SD}_{\rm CN}$  = 10.23; t(58) = 1.58), or rated concreteness ( $M_{\rm NK}$  = 3.89,  ${\rm SD}_{\rm NK}$  = 0.78;  $M_{\rm CN}$  = 3.93,  ${\rm SD}_{\rm CN}$  = 0.69; t(58) = 0.24).

Participants' accuracy for target complex nouns was 85.2% (SD=7.5), and for nominal kinds 86.6% (SD=7.4). Only descriptions that resulted in an accurate response were included in the fMRI analysis.

### 2.3. Procedure

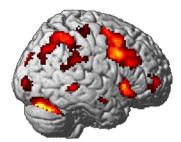
For each trial, participants were presented with a target concept, followed by a list of features. After all the features were presented participants were asked to indicate whether the object described by the features matched the target concept. For example:

- 1. COW.
- 2. Evan sees this animal on a farm.
- 3. It wears a bell.
- 4. It has an udder.
- 5. It eats grass.
- 6. It is white.
- 7. It has black spots.
- 8. Is this animal a COW?

Thus, participants knew the target concept being tested as they read each of the features. Participants received several practice trials prior to entering the magnet to ensure they understood the instructions and were familiar with the procedure.

In the magnet, all stimuli were presented visually to participants using a projector and mirror system. Each trial commenced with presentation of the target word. Six brief feature descriptions then appeared sequentially at equal intervals. The intervals were 3, 6, 9, or 12 s, and were the same throughout a trial. After all feature descriptions were presented, the target concept was presented again with a question, and participants pressed a button to indicate whether the presented features accurately described the target concept. The next trial commenced 12 s after the probe appeared.

<sup>&</sup>lt;sup>1</sup> Stimuli from an additional nominal kind category of meals were also included, but behavioral data indicated that participants were not treating the intended diagnostic feature as intended, and so they were not included in any analyses reported here.





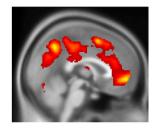


Fig. 1. Main effect of stimulus type. Results of second-level F test on all conditions of interest rendered on a standard brain template.

Each trial involved the presentation of six features related to the target concept. One of these was a critical feature, the rest auxiliary features. In the current analysis we only focus on activity associated with the critical features. The agreement of the critical feature with the auxiliary features was varied such that for half of the trials it was consistent and half of the trials it was inconsistent. The position of the critical feature was randomized. Because our interest was in the effect of critical features when they contradicted previous information we analyzed only those critical features in which at least one preceding auxiliary feature was presented. We compared processing for critical features when they were inconsistent with auxiliary features relative to when they were consistent with these features. Thus, because the critical features themselves were the same across condition, differences can be attributed to the context in which these critical features were presented. The conditions analyzed in the current study were a  $2 \times 2$  factorial design that crossed noun type (nominal kind or complex noun) with the consistency of the critical feature with its context (consistent or inconsistent). We conducted analyses separately for C+ and C- features to assess qualitative similarity for these conditions.

In addition to these within-subject manipulations, participants were randomly assigned to one of two instruction conditions. In the rule-based condition, participants were instructed to identify the correct response. Following each list of features, they were asked whether it was accurate (e.g., "Is this animal a COW?"). In the similarity-based condition, participants were told that descriptions more or less described the target concept, and were asked to decide whether a description reasonably described the target concept (e.g., "Could this animal be a COW?").

## 2.4. Image acquisition and analysis

Scans were acquired on a Siemens Trio scanner at 3 T. Each session began with acquisition of a T1-weighted structural volume using an MPRAGE protocol (TR = 1620 ms, TE = 3 ms, flip angle = 15°, 1 mm slice thickness, 192  $\times$  256 matrix, resolution = .9766  $\times$  .9766  $\times$  1 mm). A total of 1597 BOLD fMRI images were acquired in 8 separate scanning runs of approximately equal length. Each image was acquired with fat saturation, 3 mm isotropic voxels, flip angle of 15°, TR = 3 s, TE $_{\rm eff}$  = 30 ms, and a 64  $\times$  64 matrix

Image preprocessing and statistical analyses were performed using SPM5 (Wellcome Trust Centre for Functional Neuroimaging, London, UK). Analysis of imaging data were restricted to descriptions that resulted in a correct response by the participant. Data were initially analyzed separately for each participant. Low-frequency drifts were removed with high-pass filtering with a cutoff period of 128 s and autocorrelations modeled using a first-order autoregressive model. Images for each participant were realigned to the first image in the series (Friston et al., 1995) and coregistered with the structural image (Ashburner & Friston, 1997). The transformation required to bring a participant's images into standard MNI152 space were calculated using tissue probability maps (Ashburner & Friston, 2005), and these warping parameters were then applied to all functional images for that participant. During spatial normalization functional data were interpolated to isotropic 2 mm voxels. The data were spatially smoothed with an 8 mm FWHM isotropic Gaussian kernel.

Given that subjects were scanned for a relatively long period of time, we took several measures to reduce the amount of head movement during the experiment. First, we ensured subjects' heads were firmly situated within the head coil, and that they were in a comfortable position. Subjects were instructed to lie as still as possible throughout the session. The average maximum translation for all subjects was 2.06 mm, and the average maximum rotation .05 rad. Finally, to assess any effects of the length of the scanning session on participant movement, we compared the average translation (in any direction) from the first 300 scans (M = -.023 mm, SD = .045 mm) to that seen during the last 300 scans (M = .047 mm, SD = .190 mm). There was no difference between these two measurements, t(24) = -1.97, n.s. Similarly we compared the average rotations for the first 300 scans (M = .0004 rad, SD = .0015 rad) to those for the last 300 scans (M = -.0009 rad, SD = .0035 rad). Again, there was no significant difference, t(24) = 1.67, n.s. Thus, we conclude that subjects did not show increased movement as the scanning session progressed.

For each stimulus category, hemodynamic response was estimated by convolving the onset times with a canonical hemodynamic response function. Motion

parameters obtained from the realignment procedure were included as covariates. A general linear model approach was used to calculate parameter estimates for each variable for each subject, and linear contrasts for comparisons of interest. These estimates were then entered in second-level random effects analyses to allow us to make inferences across participants.

### 3. Results

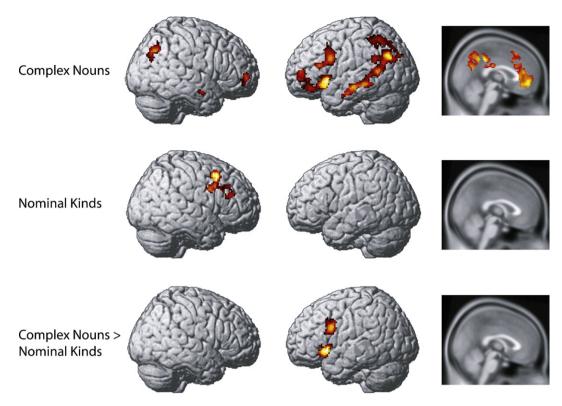
### 3.1. Overall effect of condition on processing diagnostic features

To identify regions showing a reliable effect of condition, we first conducted a second-level *F* test for all conditions of interest; that is, for all modeled critical features, regardless of the noun type (nominal kind or complex noun), surrounding auxiliary features (consistent or inconsistent), or instruction condition. For this contrast we controlled for false positives using a false discovery rate (FDR) threshold of *p* < .005 (Benjamini & Hochberg, 1995; Genovese, Lazar, & Nichols, 2002) and only accepted clusters containing a minimum of 20 contiguous voxels. This enabled us to identify regions involved in feature processing for subsequent detailed analyses. Regions identified by this procedure are shown in Fig. 1, and the peaks of activation clusters listed in Table 2. (In all tables, cluster extent is indicated by the number of voxels in the cluster, using the cluster size from the normalized functional images.)

Effects of condition in processing critical features were seen in several regions of the brain associated with linguistic processing.

**Table 2**Brain regions showing a main effect of stimulus type.

Region	Peak coordinates	Z score	# voxels
R cerebellum	14, -76, -26	Inf	16,956
L superior parietal	-32, -60, 44	7.53	
L middle frontal gyrus	-50, 14, 28	7.05	4,287
L middle frontal gyrus	-40, 0, 54	6.90	
L inferior frontal gyrus	-48, 40, -10	6.78	
Anterior cingulate Medial superior frontal gyrus	0, 50, -4 $-2, 8, 54$	6.87 6.81	3,189
R middle frontal gyrus	54, 14, 34	6.22	1,900
R middle frontal gyrus	44, 6, 32	5.24	
R thalamus	14, -4, 12	6.04	1,653
L thalamus	-14, -2, 14	5.79	
R insula	36, 24, -4	5.32	614
R inferior frontal gyrus	44, 26, -12	4.11	
L posterior superior temporal sulcus R posterior middle temporal gyrus R middle frontal gyrus L brainstem R superior marginal gyrus L medial temporal lobe R medial middle temporal gyrus R anterior middle temporal gyrus R brainstem R angular gyrus	-62, -30, 20	4.76	130
	60, -40, -6	4.63	102
	34, 56, 0	4.24	232
	-6, -32, -24	3.97	70
	64, -40, 26	3.94	181
	-28, -22, -6	3.93	49
	40, -32, 0	3.80	24
	58, -4, -18	3.76	32
	8, -32, -16	3.58	26
	48, -52, 18	3.54	49



**Fig. 2.** Increased activation associated with processing characteristic critical features (C+) that are inconsistent with surrounding auxiliary features relative to when they are consistent. Top: Inconsistent C+> Consistent C+> Co

This included activation in lateral and posterior inferior temporal lobes, inferior and dorsolateral frontal cortex, and parietal regions. These activations were bilateral, although they were more robust in the left hemisphere. There were also significant effects in medial regions including cingulate cortex and medial parietal cortex.

We restricted all subsequent analyses to the regions identified by this F test. Within these regions we adopted a more relaxed statistical criterion to investigate effects of stimulus type. Unless otherwise stated we report clusters in which each voxel is significant at p < .05 and the peak voxel in a cluster has a minimum Z score of 3.09 (equivalent to p < .001), with a minimum cluster extent of 20 voxels.

# 3.2. Effects of semantic context on characteristic critical feature processing

Within the region identified by our initial *F* test, we investigated the extra activity required to process characteristic critical features (*C*+) in the inconsistent relative to the consistent conditions. We initially performed this analysis for all participants, irrespective of instruction condition. Results from this comparison for complex nouns and nominal kinds are shown in Fig. 2 and described in Table 3. For complex nouns, this analysis showed significant increases in left temporal and inferior parietal regions, bilateral frontal cortex, and cingulate. For nominal kinds increases in activity were observed only in right middle frontal gyrus.

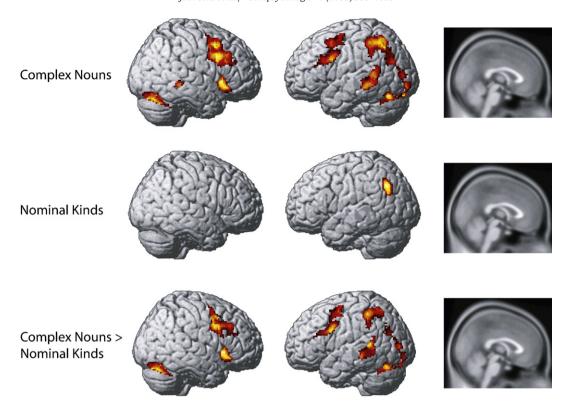
To examine differences in processing between complex nouns and nominal kinds we directly contrasted activity in the two conditions, shown in the bottom panel of Fig. 2 and listed in Table 3. When critical features were inconsistent with auxiliary features, complex nouns required significantly greater activation in left inferior frontal gyrus. There were no regions associated with significantly greater activity for nominal kinds relative to complex nouns.

# 3.3. Effects of semantic context on non-characteristic critical feature processing

We next performed the same analyses, focusing on non-characteristic critical features (C–). These results are shown in Fig. 3, with activation maxima described in Table 4. Diagnostic critical features of complex nouns that were inconsistent with previous

**Table 3**Brain regions showing increased activation for characteristic critical features that contradicted preceding auxiliary features.

Region	Peak coordinates	Z score	# voxels
Complex noun: Inconsistent C+> Consistent C+			
L superior parietal and angular gyrus	-44, -66, 30	4.14	1914
L middle temporal gyrus	-50, -18, -14	3.27	
Posterior cingulate	0, -40, 40	4.08	493
R posterior superior parietal	44, -68, 34	3.71	242
R anterior middle temporal gyrus	60, -2, -18	3.68	32
L middle frontal gyrus	-32, 58, 6	3.63	181
Anterior cingulate	0,48,-6	3.57	2175
L middle frontal gyrus	-48, 30, 18	3.56	622
L ventral inferior frontal	-48, 38, -14	3.41	846
R superior frontal sulcus	30, 58, 2	3.40	122
L brainstem	-10, -26, -24	3.38	69
R precuneus	4, -54, 20	3.32	1381
R thalamus	4, -16, 14	3.21	154
Nominal kind: Inconsistent C+>Consistent C+			
R middle frontal gyrus	30, 10, 44	3.34	596
	38, 14, 48	3.08	
Inconsistent C+> Consistent C+: complex nouns> nominal kinds			
L ventral inferior frontal	-32, 20, -6	3.50	546
	-32, 16, 8	3.18	
L middle frontal gyrus	-50, 12, 28	3.35	372
L brainstem	-10, -26, -22	3.18	35



**Fig. 3.** Increased activation associated with processing non-characteristic critical features (C-) that are inconsistent with surrounding auxiliary features relative to when they are consistent. Top: Inconsistent C- > Consistent C- > Con

**Table 4**Brain regions showing increased activation for non-characteristic critical features that contradicted preceding auxiliary features.

Region	Peak coordinates	Z score	# voxels
Complex noun: Inconsistent C-> Consist R globus pallidus L superior parietal R cerebellum L occipital R posterior middle temporal gyrus	rent C- 14, -6, 8 -30, -58, 32 28, -54, -34 -24, -92, -4 62, -38, -8	4.38 3.74 3.62 3.50 3.64	616 3681 82
L posterior middle temporal gyrus  L middle frontal gyrus	-50, -46, -4 -46, 6, 50	3.64 3.39	707 743
	-54, 8, 34	3.27	
R middle frontal gyrus	44, 14, 22 44, 4, 28	3.36 3.29	1401
L globus pallidus	-20, 0, 6	3.35	529
R insula	42, 20, -2 48, 22, -14	3.33 3.08	418
Nominal kind: Inconsistent C- > Consiste L angular gyrus	ent C– –44, –64, 38	3.35	311
Inconsistent C- > Consistent C-: complex R globus pallidus	x nouns > nominal ki 14, –6, 8	nds 4.60	513
L middle frontal gyrus	-44, 0, 54 -56, 6, 34	4.06 3.84	553
L occipital L superior parietal	-12, -90, -18 -38, -34, 32	3.81 3.79	2126
R middle frontal gyrus R insula L posterior superior temporal sulcus	44, 2, 28 44, 18, -2 -62, -42, 8	3.79 3.58 3.55	975 398 536
L posterior middle temporal gyrus R cerebellum	-46, -40, 0 34, -62, -20	3.27 3.49	773
L globus pallidus	-10, -8, 10	3.12	340

auxiliary features resulted in increased activation in bilateral dorsolateral prefrontal cortex, as well as left middle temporal gyrus and inferior parietal lobe. For nominal kinds, increases associated with the inconsistent condition were only seen in left inferior parietal cortex.

To examine differences in processing between complex nouns and nominal kinds, we directly contrasted the two conditions. Results from this analysis are shown in the bottom panel of Fig. 3, with activation maxima described in Table 4. Complex nouns showed significantly greater frontal activation than nominal kinds in several regions, including bilateral dorsolateral prefrontal cortex, left ventral inferior frontal gyrus, left middle temporal gyrus, and left inferior parietal cortex. There were no regions in which nominal kinds showed more activity than complex nouns.

### 3.4. Effects of rule- and similarity-based instructions

As noted in the introduction, differences between rule-based and similarity-based processing are found in many behavioral and imaging studies (Allen & Brooks, 1991; Grossman et al., 2002; Koenig et al., 2006; Patalano, Smith, Jonides, & Koeppe, 2001; Smith & Sloman, 1994). To evaluate the effect of instruction type in the current study, we compared activity in participants given rule-based instructions to those who received similarity-based instructions using a two-sample t-test. We investigated these differences for the regions revealed in our analysis of violation type (displayed in Figs. 2 and 3). Because we expected these effects to be subtle, we accepted clusters in which at least 15 contiguous voxels had an uncorrected significance of p<.05, listed in Table 5. A large number of regions showed increased processing under similarity-based instructions, including left temporal and parietal regions. The only region showing significantly greater activation in the rule-based condition was the right middle frontal gyrus.

**Table 5**Brain regions showing significant differences in activation between rule- and similarity-based instructions.

Region	Peak coordinates	Z score	# voxels	
Similarity > rule: complex noun C+ Inconsistent > Consistent				
Posterior cingulate	2, -30, 40	3.20	208	
L precuneus	-4, -66, 30	3.14	642	
L middle temporal gyrus	-52, -16, -20	2.63	169	
L angular gyrus	-42, -68, 30	2.56	111	
Posterior cingulate	0, -26, 30	2.49	82	
R angular gyrus	40, -62, 48	2.41	122	
	10, -50, 10	2.39	34	
L middle temporal gyrus	<b>−48</b> , <b>−38</b> , <b>−8</b>	2.26	30	
Similarity > rule: complex noun	Similarity > rule: complex noun C – Inconsistent > Consistent			
L medial parietal	-18, -56, 42	2.74	23	
L inferior parietal	-44, -60, 40	2.44	17	
Similarity > rule: nominal kind C— Inconsistent > Consistent				
L angular gyrus	-48, -60, 42	2.34	53	
Rule > similarity: nominal kind C+ Inconsistent > Consistent				
R middle frontal gyrus	36, 14, 48	3.17	83	
R middle frontal gyrus	40, 32, 34	2.42	19	

### 4. Discussion

The manner in which conceptual information is stored and the various methods of accessing this knowledge can result in divergent resource demands. We broadly agree with theories positing that the meanings of most concrete nouns involve a weighted combination of characteristic features. Within such a scheme, determining object identity requires active processing to evaluate the available information and deciding how well the summed information matches a core concept. The aim of the current study was to see whether processing of individual features could be influenced by their surrounding semantic context, and whether this difference could be further modulated by the type of concept features were associated with, or by explicit task instructions. Our current results suggest that each of these manipulations impacts the cortical processing associated with noun features. Below we discuss the effects of semantic context for complex nouns and nominal kinds, and the additional impact of rule- or similarity-based processing strategies.

# 4.1. Effects of semantic context on processing features of nominal kinds

Due to the special place diagnostic critical features hold in the meaning of nominal kind nouns, we anticipated that they would be processed similarly regardless of how they related to preceding auxiliary features. That is, diagnostic features of nominal kinds should remain diagnostic largely irrespective of the surrounding semantic context. This hypothesis was supported by our results, which showed only modest increases in processing for the inconsistent condition compared to the consistent condition for nominal kinds. When the critical feature contradicting auxiliary features positively supported the meaning of a concept (C+ in A- context), increased activation was seen in right middle frontal gyrus. Although the laterality of dorsolateral prefrontal processing is not always consistent, dorsolateral regions of either hemisphere have been implicated in executive processes sometimes required for evaluation and decision making (Duncan & Owen, 2000), including episodic memory tasks when semantic relationships are stressed (Miotto et al., 2006; Murray & Ranganath, 2007). Patients with neurodegenerative disease affecting dorsolateral prefrontal regions have difficulty acquiring novel semantic concepts using rule-based criteria (Koenig et al., 2006). Indeed,

these non-aphasic patients with frontotemporal dementia display a variety of language problems which seem attributable to executive resource decline (Peelle & Grossman, 2008). These studies indicate that dorsolateral prefrontal regions can play an important role in the executive mediation of semantic tasks.

When the critical feature violation prohibited concept identity (C— in A+ context), increased activation for nominal kinds was seen in left inferior parietal lobe. Although this region is not typically reported in functional imaging studies of word processing, gray matter density in this region of left inferior parietal cortex was recently found to correlate with vocabulary growth in adolescents, and has anatomical connections to both angular gyrus and anterior supramarginal gyrus (Lee et al., 2007), consistent with a role for the left inferior parietal lobes in semantic processing. In the context of our current study, this region may reflect an accumulation of feature information regarding these nouns.

# 4.2. Effects of semantic context on processing features of complex

Because concept representation for complex nouns is more heavily dependent on a probabilistic evaluation of feature knowledge than nominal kinds, we expected significantly greater activation when critical features were inconsistent with auxiliary features relative to when they were consistent. Indeed, activity in several regions was seen when critical features were inconsistent with surrounding context, regardless of whether the critical feature was characteristic of the target concept (C+) or not (C-).

Large regions of the temporal and parietal lobes were observed for inconsistent critical feature processing in complex nouns. Left temporal areas are associated with accessing semantic information in a variety of tasks (Martin, 2007). This includes findings that making semantic judgments about single words increases left temporal processing relative to phonological judgments about the same words (Devlin, Matthews, & Rushworth, 2003; Price, Moore, Humphreys, & Wise, 1997). The angular gyrus is often associated with semantic representation (Price, 2000) and greater activation in angular gyri is often observed for concrete relative to abstract words (Binder, Westbury, McKiernan, Possing, & Medler, 2005; Sabsevitz, Medler, Seidenberg, & Binder, 2005). This underscores the role of these regions in concrete feature knowledge. The activation of these temporal–parietal semantic regions is consistent with participants' incorporating critical features into a coherent representation.

When critical features contradicted auxiliary features, processing these features of complex nouns also resulted in significant increases in dorsolateral and anterior prefrontal activity. As discussed previously, the middle frontal gyri have been implicated in a wide variety of executive tasks, and in the context of the current paradigm we believe this activity reflects participants' evaluation of conflicting semantic feature information. In addition to the middle frontal gyrus, we also observed increased activity in left ventral inferior frontal cortex for the C+ stimuli. This is consistent with its involvement in the selection of competing semantic alternatives (Thompson-Schill, D'Esposito, Aguirre, & Farah, 1997) and importance in making semantic decisions (Devlin et al., 2003).

Finally, C+ feature processing in the inconsistent condition resulted in significant increases in anterior and posterior cingulate cortex. Anterior cingulate in particular has been implicated in attentional processes and outcome monitoring (Botvinick, Cohen, & Carter, 2004), processes which fit well within the context of evaluating semantic features that violate a previously established context. Posterior cingulate and surrounding activity is associated with allocation of attention (Gilbert, Simons, Frith, & Burgess, 2006), but it is also often associated with episodic memory retrieval (Cabeza et al., 2003; Nestor, Fryer, Smielewski, & Hodges, 2003).

In the current study, episodic memory may be important for the retrieval of specific stimulus properties of the target concept.

We have reported significantly more activation in critical conditions for complex nouns compared to nominal kinds during the processing of critical statements. The critical statements are matched across several psycholinguistic variables between nominal kinds and complex nouns, However, we cannot rule out the possibility that there are other psycholinguistic properties that systematically differ between these two types of nouns. This includes the possibility that due to a sparser category structure, characteristic features may demonstrate less conflict with auxiliary features for nominal kinds than for complex nouns, which in turn might require less mediation by frontal cortex. Consideration of multiple aspects of the representation of these nouns in future studies will prove important in elucidating the specific bases for the observed differences.

It is notable that we observed increased activity in temporal and parietal regions—typically characterized as being associated with semantic *content*—during semantic context manipulations that induced a change in *process*. (In this context, we consider "process" to refer to either the selective activation of different parts of an encoded concept, or different manipulation on whatever information is retrieved.) The close relationship between activity in these regions and the type of semantic processing required is certainly not novel. However, we feel it valuable to state what is often implicit in studies of semantic memory: namely, that although "process" and "content" are dissociable cognitive constructs, they do not map neatly onto anatomically discrete regions of cortex. To the contrary, activity in temporal—parietal semantic regions appears to be specifically upregulated in response to very specific task demands (see also Gold et al., 2006).

### 4.3. Effect of rule- and similarity-based instructions

In the initial investigation of these stimuli there were no differences between participants who received rule- and similaritybased instructions (Grossman et al., 2007), suggesting any differences due to instruction condition would be subtle. Given the modest effects we observed, any conclusions we reach regarding these differences must be viewed as tentative. We found that participants who were given rule-based instructions appeared to rely more on dorsolateral prefrontal regions. These regions may reflect working memory resources needed for applying rules to the decision-making processes and stimuli of the experiment (Bechara, Damasio, Tranel, & Anderson, 1998; D'Esposito, Postle, & Rypma, 2000; Strange, Henson, Friston, & Dolan, 2001). By contrast, participants who received similarity-based instructions relied more on temporal and parietal regions. Although parietal activation is associated with numerous cognitive tasks (Culham & Kanwisher, 2001), parietal cortex does appear to have a special role in perceptual similarity judgments (Wilkinson, Halligan, Henson, & Dolan, 2002). The conjunction with activity in middle temporal gyrus suggests involvement of association cortices relating to an accumulation of perceptual features.

Although direct neuroimaging evidence regarding categorization strategies is relatively rare, the current results are largely consistent with previous investigations. Several studies support increased involvement of frontal regions during rule-based processing (Grossman et al., 2002; Koenig et al., 2005), although the selectivity of frontal involvement in rule-based processing is not universal (Smith et al., 1998). Increases in posterior temporal and parietal regions have been associated with similarity-based instructions (Koenig et al., 2005). In the context of previous literature, the current results demonstrate that the approach participants bring to a semantic task can influence the regions involved in its

completion. This influence appears to operate relatively independently of the specific semantic information being evaluated.

### 4.4. Conclusions

Our findings lead to three conclusions, all of which support a strongly interactive account of process and content in semantic memory. First, the organization of semantic categories affects the neural processing of semantic features. We specifically show differences between complex nouns and nominal kinds that arise from the nature of the relative importance of individual features to a concept. Second, task demands had a significant effect on feature processing. This was evident most strongly in implicit task demands (evaluating a feature in different semantic contexts), but also in explicit rule- and similarity-based instruction conditions. Finally, we note that semantic context modulated processing in regions typically associated with semantic storage, including left posterior middle temporal gyrus and angular gyrus, suggesting that "process" and "content" in semantic memory do not lend themselves to straightforward neuroanatomical dissociation.

### Acknowledgments

This work was supported in part by NIH grants AG15116, AG17586, and NS54575. We are grateful to Michael Bonner and Jamie Reilly for helpful comments on this manuscript.

## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.neuropsychologia.2008.10.027.

### References

- Allen, S. W., & Brooks, L. R. (1991). Specializing in the operation of an explicit rule. *Journal of Experimental Psychology: General*, 120(1), 3–19.
- Ashburner, J., & Friston, K. J. (1997). Multimodal image coregistration and partitioning—A unified framework. *NeuroImage*, 6(3), 209–217.
- Ashburner, J., & Friston, K. J. (2005). Unified segmentation. *NeuroImage*, 26, 839–851. Balota, D. A., Yap, M. J., Cortese, M. J., Hutchison, K. A., Kessler, B., Loftis, B., et al. (2007). The English Lexicon Project. *Behavior Research Methods*, 39, 445–459.
- Bechara, A., Damasio, H., Tranel, D., & Anderson, S. W. (1998). Dissociation of working memory from decision making within the human prefrontal cortex. *Journal of Neuroscience*, 18(1), 428–437.
- Benjamini, Y., & Hochberg, Y. (1995). Controlling the false discovery rate: A practical and powerful approach to multiple testing. *Journal of the Royal Statistical Society Series B*, 57(1), 289–300.
- Binder, J. R., Westbury, C. F., McKiernan, K. A., Possing, E. T., & Medler, D. A. (2005). Distinct brain systems for processing concrete and abstract concepts. *Journal of Cognitive Neuroscience*, 17(6), 905–917.
- Botvinick, M. M., Cohen, J. D., & Carter, C. S. (2004). Conflict monitoring and anterior cingulate cortex: An update. *Trends in Cognitive Sciences*, 8(12), 539–546.
- Cabeza, R., Dolcos, F., Prince, S. E., Rice, H. J., Weissman, D. H., & Nyberg, L. (2003). Attention-related activity during episodic memory retrieval: A cross-function fMRI study. *Neuropsychologia*, 41, 390–399.
- Caramazza, A., & Shelton, J. R. (1998). Domain-specific knowledge systems in the brain: The animate-inanimate distinction. *Journal of Cognitive Neuroscience*, 10(1), 1–34.
- Crutch, S. J., & Warrington, E. K. (2005). Abstract and concrete concepts have structurally different representational frameworks. *Brain*, 128, 615–627.
- Culham, J. C., & Kanwisher, N. G. (2001). Neuroimaging of cognitive functions in human parietal cortex. *Current Opinion in Neurobiology*, 11, 157–163.
- D'Esposito, M., Postle, B. R., & Rypma, B. (2000). Prefrontal cortical contributions to working memory: Evidence from event-related fMRI studies. *Experimental Brain Research* 133 3-11
- Devlin, J. T., Matthews, P. M., & Rushworth, M. F. S. (2003). Semantic processing in the left inferior prefrontal cortex: A combined functional magnetic resonance imaging and transcranial magnetic stimulation study. *Journal of Cognitive Neuroscience*, 15(1), 71–84.
- Duncan, J., & Owen, A. M. (2000). Common regions of the human frontal lobe recruited by diverse cognitive demands. *Trends in Neurosciences*, 23(10), 475–483.
- Friston, K. J., Ashburner, J., Frith, C. D., Poline, J.-B., Heather, J. D., & Frackowiak, R. S. J. (1995). Spatial registration and normalization of images. *Human Brain Mapping*, 2, 165–189.

- Genovese, C. R., Lazar, N. A., & Nichols, T. (2002). Thresholding of statistical maps in functional neuroimaging using the false discovery rate. *NeuroImage*, 15, 870–878.
- Gilbert, S. J., Simons, J. S., Frith, C. D., & Burgess, P. W. (2006). Performance-related activity in medial rostral prefrontal cortex (Area 10) during low-demand tasks. Journal of Experimental Psychology: Human Perception and Performance, 32(1), 45–58.
- Gold, B. T., Balota, D. A., Jones, S. J., Powell, D. K., Smith, C. D., & Andersen, A. H. (2006). Dissociation of automatic and strategic lexical-semantics: Functional magnetic resonance imaging evidence for differing roles of multiple frontotemporal regions. *Journal of Neuroscience*, 26(24), 6523–6532.
- Grossman, M., Smith, E. E., Koenig, P., Glosser, G., DeVita, C., Moore, P., et al. (2002). The neural basis for categorization in semantic memory. *NeuroImage*, 17, 1549–1561.
- Grossman, M., Troiani, V., Koenig, P., Work, M., & Moore, P. (2007). How necessary are the stripes of a tiger? Diagnostic and characteristic features in an fMRI study of word meaning. *Neuropsychologia*, 45, 1055–1064.
- Hampton, J. A. (1995). Testing the prototype theory of concepts. *Journal of Memory and Language*, 34, 686–708.
- Hampton, J. A. (1997). Psychological representation of concepts. In M. A. Conway (Ed.), Cognitive models of memory. Hove: Psychology Press.
- Keil, F. C. (1989). Concepts, kinds, and cognitive development (1st ed.). Cambridge: MIT Press.
- Keil, F. C., & Batterman, N. (1984). A characteristic-to-defining shift in the development of word meaning. *Journal of Verbal Learning and Verbal Behavior*, 23, 221–236.
- Koenig, P., & Grossman, M. (2007). Process and content in semantic memory. In J. Hart Jr. & M. A. Kraut (Eds.), Neural basis of semantic memory (pp. 247–264). Cambridge: Cambridge University Press.
- Koenig, P., Smith, E. E., Glosser, G., DeVita, C., Moore, P., McMillan, C., et al. (2005). The neural basis for novel semantic categorization. *NeuroImage*, 24, 369–383.
- Koenig, P., Smith, E. E., & Grossman, M. (2006). Semantic categorisation of novel objects in frontotemporal dementia. Cognitive Neuropsychology, 23(4), 541–562.
- Lee, H., Devlin, J. T., Shakeshaft, C., Stewart, L. H., Brennan, A., Glensman, J., et al. (2007). Anatomical traces of vocabulary acquisition in the adolescent brain. *Journal of Neuroscience*, 27(5), 1184–1189.
- Martin, A. (2007). The representation of object concepts in the brain. Annual Reviews of Psychology, 58, 25–45.
- Martin, A., & Chao, L. L. (2001). Semantic memory and the brain: Structure and processes. Current Opinion in Neurobiology, 11(2), 194–201.
- McClelland, J. L., & Rogers, T. T. (2003). The parallel distributed processing approach to semantic cognition. *Nature Reviews Neuroscience*, 4, 310–322.
- McRae, K., de Sa, V. R., & Seidenberg, M. S. (1997). On the nature and scope of featural representations of word meaning. *Journal of Experimental Psychology: General*, 126(2), 99–130.

- Miotto, E. C., Savage, C. R., Evans, J. J., Wilson, B. A., Margins, M. G. M., Iaki, S., et al. (2006). Bilateral activation of the prefrontal cortex after strategic semantic cognitive training. *Human Brain Mapping*, 27, 288–295.
- Murray, L. J., & Ranganath, C. (2007). The dorsolateral prefrontal cortex contributes to successful relational memory encoding. *Journal of Neuroscience*, 27(20), 5515–5522
- Nestor, P. J., Fryer, T. D., Smielewski, P., & Hodges, J. R. (2003). Limbic hypometabolism in Alzheimer's disease and mild cognitive impairment. *Annals of Neurology*, 54, 343–351
- Patalano, A. L., Smith, E. E., Jonides, J., & Koeppe, R. A. (2001). PET evidence for multiple strategies of categorization. *Cognitive, Affective, and Behavioral Neuroscience*, 1(4), 360–370.
- Peelle, J. E., & Grossman, M. (2008). Language processing in frontotemporal dementia: A brief review. *Language and Linguistics Compass*, 2(1), 18–35.
- Price, C. J. (2000). The anatomy of language: Contributions from functional neuroimaging. *Journal of Anatomy*, 197(3), 335–359.

  Price, C. J., Moore, C. J., Humphreys, G. W., & Wise, R. J. S. (1997). Segregating semantic
- Price, C. J., Moore, C. J., Humphreys, G. W., & Wise, R. J. S. (1997). Segregating semantic from phonological processes during reading. *Journal of Cognitive Neuroscience*, 9(6), 727–733.
- Rips, L. J. (1989). Similarity, typicality, and organization. In S. Vosniadou & A. Ortony (Eds.), Similarity and analogical reasoning. Cambridge: Cambridge University Press.
- Rosch, E. R., & Mervis, C. B. (1975). Family resemblence: Studies in the internal structure of categories. *Cognitive Psychology*, 7, 573–605.
- Sabsevitz, D. S., Medler, D. A., Seidenberg, M., & Binder, J. R. (2005). Modulation of the semantic system by word imageability. *NeuroImage*, *27*(1), 188–200.
- Smith, E. E., Patalano, A. L., & Jonides, J. (1998). Alternative strategies of categorization. *Cognition*, 65, 167–196.
- Smith, E. E., Shoben, E. J., & Rips, L. J. (1974). Structure and process in semantic memory: A featural model for semantic decisions. *Psychological Review*, 81(3), 214–241.
- Smith, E. E., & Sloman, S. A. (1994). Similarity- versus rule-based categorization. Memory and Cognition. 22, 377–386.
- Strange, B. A., Henson, R. N. A., Friston, K. J., & Dolan, R. J. (2001). Anterior prefrontal cortex mediates rule learning in humans. *Cerebral Cortex*, 11, 1040– 1046
- Thompson-Schill, S. L., D'Esposito, M., Aguirre, G. K., & Farah, M. J. (1997). Role of left inferior prefrontal cortex in retrieval of semantic knowledge: A reevaluation. *Proceedings of the National Academy of Sciences*, 94, 14792–14797.
- Tulving, E. (1972). Episodic and semantic memory. In E. Tulving & W. Donaldson (Eds.), Organization of memory (pp. 381–403). New York: Academic Press
- Wilkinson, D. T., Halligan, P. W., Henson, R. N. A., & Dolan, R. J. (2002). The effects of interdistracter similarity on search processes in superior parietal cortex. *Neurolmage*, 15, 611–619.